Zero Carbon Holdings, LLC and Four Thirteen, LLC v. Aspiration Partners, Inc., Case No. 23-cv-5262-LJL

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

ZERO CARBON HOLDINGS, LLC and FOUR THIRTEEN, LLC

Plaintiff,

-V-

ASPIRATION PARTNERS, INC.,

Defendant.

Case No. 23-cv-5262-LJL

PX. EX. NO.	DATE	DESCRIPTION	BATES NUMBER
1.	February 9, 2022	Letter Confirmation of Agreement	N/A
2.	February 9, 2022	Equity Pledge Agreement	N/A
3.		ISDA Master Agreement	N/A
4.	August 18, 2021	Article titled "Aspiration, a Sustainability-Focused Fintech With Celebrity Backers, to Go Public Via SPAC"	N/A
5.	December 15, 2021	Article titled "Leonardo DiCaprio- backed fintech Aspiration raises \$315 mln ahead of closing SPAC deal"	N/A
6.	January 24, 2022	E-mail from Robert Lee bearing subject line "Re: Follow-up on Carbon Credits"	Aspiration00001240
7.	January 26, 2022	E-mail from Robert Lee bearing subject line "Re: Help w/ Forward Purchase Contract Language"	Aspiration00001270
8.	January 26, 2022	E-mail from Jay Rogers bearing subject line "RE: Follow-up on Carbon Credits"	Aspiration00001248
9.	January 28, 2022	E-mail from Robert Lee bearing subject line "Re: Help w/ Forward Purchase Contract Language - Privileged"	Aspiration00001339
10.	February 3, 2022	E-mail from Javier Rocha bearing subject liner "RE: Zero Carbon Holdings / Aspiration"	Aspiration00001389
11.	February 7,	E-mail from Irfan Kamal bearing subject	Aspiration00001473

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	2022	line "Re: Privileged – Fwd: Invitation: Aspiration-413/ZCH"	
12.	February 9, 2022	E-mail from Shuckerow bearing subject line "Re: answers to Aspiration's questions"	ZCH00003082
13.	February 17, 2022	Article titled "CORRECTING and REPLACING Aspiration Reports Fourth Quarter and Full Year 2021 Results"	N/A
14.	December 15, 2022	E-mail from Jay Rogers bearing subject line "ZERO CARBON HOLDINGS – FINAL PDD WITH LAND TENURE – LEGAL OPINIONS AND UNDERLYING EXHIBITS"	Aspiration00007035
15.	December 15, 2022	E-mail from Robert Lee bearing subject line "Fwd: ZERO CARBON HOLDINGS – FINAL PDD WITH LAND TENURE – LEGAL OPINIONS AND UNDERLYING EXHIBITS"	Aspiration0007036
16.	December 15, 2022	E-mail from Dan Shurey bearing subject line "Fwd: ZERO CARBON HOLDINGS – FINAL PDD WITH LAND TENURE – LEGAL OPINIONS AND UNDERLYING EXHIBITS"	Aspiration00007319
17.	January 13, 2023	E-mail from Daniel Bier bearing subject line "Re: [external] Aspiration Carbon Portfolio"	Aspiration00003913
18.	February 1, 2023	Notice of Default from Aspiration	N/A
19.	February 25, 2023	E-mail from Erin Angerer bearing subject line "Investor Deck Draft for Review"	Aspiration00005154
20.	March 28, 2023	Notice of Default from Aspiration	N/A
21.	April 5, 2023	Article titled "Fintech Startup Aspiration Plans To Lay Off More Than Half Of Staff"	N/A
22.	April 14, 2023	Climate-focused digital bank backed by Leonardo DiCaprio and Orlando Bloom facing major layoffs"	N/A
23.	August 22, 2023	Article titled "InterPrivate III Financial Partners Inc. (IPVF) Terminates Aspiration Deal"	N/A
24.	January 24, 2024	Article titled "Aspiration is under investigation by the Commodities Futures Trading Commission about the quality of its carbon offsets"	N/A
25.	February 6,	E-mail from Javier Rocha bearing subject	ZCH00031435

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2022	<u> </u>	
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January 28,	E-mail from Robert Lee bearing subject	ZCH00031174
2022	line "RE: Follow-up on Carbon Credits"	
February 6,	E-mail from Irfan Kamal bearing subject	Aspiration00001445
2022	line "Re: Aspiration / ZCH –	
January 25,		ZCH00013641
2023	line "FW: ZERO CARBON HOLDINGS	
	/ ASPIRATION"	
October 19,	Letter from Lisa DeMarco	Aspiration00006256
2021		•
December	Project Design Document submitted to	N/A
13, 2022	VERRA	
August 29,	Letter from Lucia Aragao	ZCH00018108
2022		
March 19,	Feasibility Report prepared by Radicle	ZCH00003629
2021	Group Inc.	
October 1,	Feasibility Report prepared by Radicle	N/A
2021	Group Inc.	
July 18, 2023	Affidavit of Lucia Aragao in Support of	N/A
	_	
March 15,	Expert Report of Lucia Aragao	N/A
2024		
	February 6, 2022 January 25, 2023 October 19, 2021 December 13, 2022 August 29, 2022 March 19, 2021 October 1, 2021 July 18, 2023 March 15,	Confirmation and Equity Pledge" January 28, E-mail from Robert Lee bearing subject line "RE: Follow-up on Carbon Credits" February 6, 2022 line "Re: Aspiration / ZCH — Confirmation and Equity Pledge" January 25, E-mail from Jay Rogers bearing subject line "FW: ZERO CARBON HOLDINGS / ASPIRATION" October 19, Letter from Lisa DeMarco December Project Design Document submitted to VERRA August 29, 2022 March 19, Feasibility Report prepared by Radicle Group Inc. October 1, Feasibility Report prepared by Radicle Group Inc. July 18, 2023 Affidavit of Lucia Aragao in Support of Plaintiffs' Application for a Temporary Restraining Order and Order to Show Cause for Preliminary Injunction March 15, Expert Report of Lucia Aragao

Dated: April 2, 2024

New York, New York

O'BRIEN LLP

By: /s/ Sean O'Brien, Esq.

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